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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/707,026

Attorney Docket No.: NTCP0017USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 65 pages (including cover page)

Winston Hsu 2005/03/01

NTCP0017USA0_D1_1

PTO/SB/57 (25-04)

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Application No: 10/707,026

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Information Disclosure Statement	61 PAGES

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SS21 (23-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/707,026	
	Filing Date	11/16/2003	
	First Named Inventor	Ping Hsu	
	Art Unit	2813	
	Examiner Name	CHEN, JACK S J	
Total Number of Pages in This Submission	63	Attorney Docket Number	NTCP0017USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	MAR - 1 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING			
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Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0851-0032

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2005		Complete if Known Application Number 10/707,026 Filing Date 11/16/2003 First Named Inventor Ping Hsu Examiner Name CHEN, JACK S J Art Unit 2813 Attorney Docket No. NTCP0017USA	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$) 0.00			

METHOD OF PAYMENT (check all that apply) <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____ <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>50-3105</u> Deposit Account Name: <u>North America Intellectual Property Corp.</u> For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

FEE CALCULATION 1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CLAIM FEES							
Fee Description							Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent							50
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent							200
Multiple dependent claims							360
Total Claims		Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims		Fee (\$)
- 20 or HP = _____ x _____ = _____				Fee (\$)		Fee Paid (\$)	
HP = highest number of total claims paid for, if greater than 20							
Indep. Claims		Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP = _____ x _____ = _____							
HP = highest number of independent claims paid for, if greater than 3							
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) _____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____							
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							Fees Paid (\$)
Other: submission of Information Disclosure Statement							0.00

SUBMITTED BY			
Signature <u>Winston Hsu</u>	Registration No. <u>41,526</u> (Attorney/Agent)	Telephone <u>302-729-1562</u>	
Name (Print/Type) <u>Winston Hsu</u>	Date <u>MAR 1 2005</u>		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Ping Hsu

5 Kuo-Chien Wu

Shih-Fan Kuan

Filing Date: 11/16/2003

Art Unit: 2813

Serial No.: 10/707,026

Docket No.: NTCP0017USA

10 Title: METHOD FOR FABRICATING A TRENCH CAPACITOR

To: Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

15

Subject: Information Disclosure Statement under 37 C.F.R. §1.56.

Dear Sir:

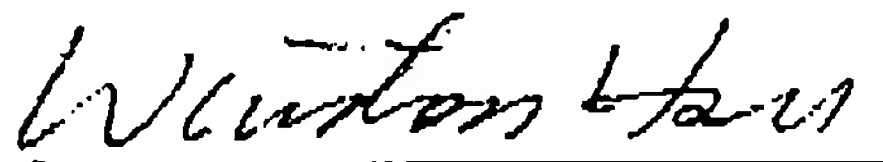
20 This is an Information Disclosure Statement in accordance with the duty to
disclose information material to patentability under 37 C.F.R. §1.56. Applicants wish
to make of record the document listed on the accompanying form PTO/SB/08. It is
respectfully requested that the examiner initials the cited reference on the form and
that it be made of record in the application and that a copy of the initialed form be sent
25 to the applicant with the next communication from the examiner.

Since the IDS is filed before the mailing date of a first Office action on the merits,
consideration of the information disclosure statement is hereby requested according to
37 C.F.R. §1.97(b). The prior art patent documents contained in the information
30 disclosure statement were cited in the communication dated January 25, 2005 from the
Taiwan Intellectual Property Office. Applicants sincerely hope that the examiner can
consider the item contained in the information disclosure statement.

According to the requirement set forth in 37 CFR §1.98, applicants politely submit a copy of the cited Taiwanese patent publish No. 508758 (published Nov. 01, 2002) and Taiwanese patent publish No. 488067 (published May 21, 2002). In accordance with
5 MPEP 609 III A (2), an English-language equivalent application may be submitted to fulfill the requirement for a concise explanation of relevance. Accordingly, the counterpart US patent 6,680,237 of the Taiwanese patent publish No. 508758, and the counterpart US patent US 6,365,485 of the Taiwanese patent publish No. 488067 are hereby presented to fulfill the 37 CFR §1.98(a)(3) requirement.

10

Respectfully Submitted,

15 Date: MAR - 1 2005

Winston Hsu, Patent Agent No. 41,526

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